



Lifetime of MCP-PMTs and other Performance Features

Albert Lehmann,

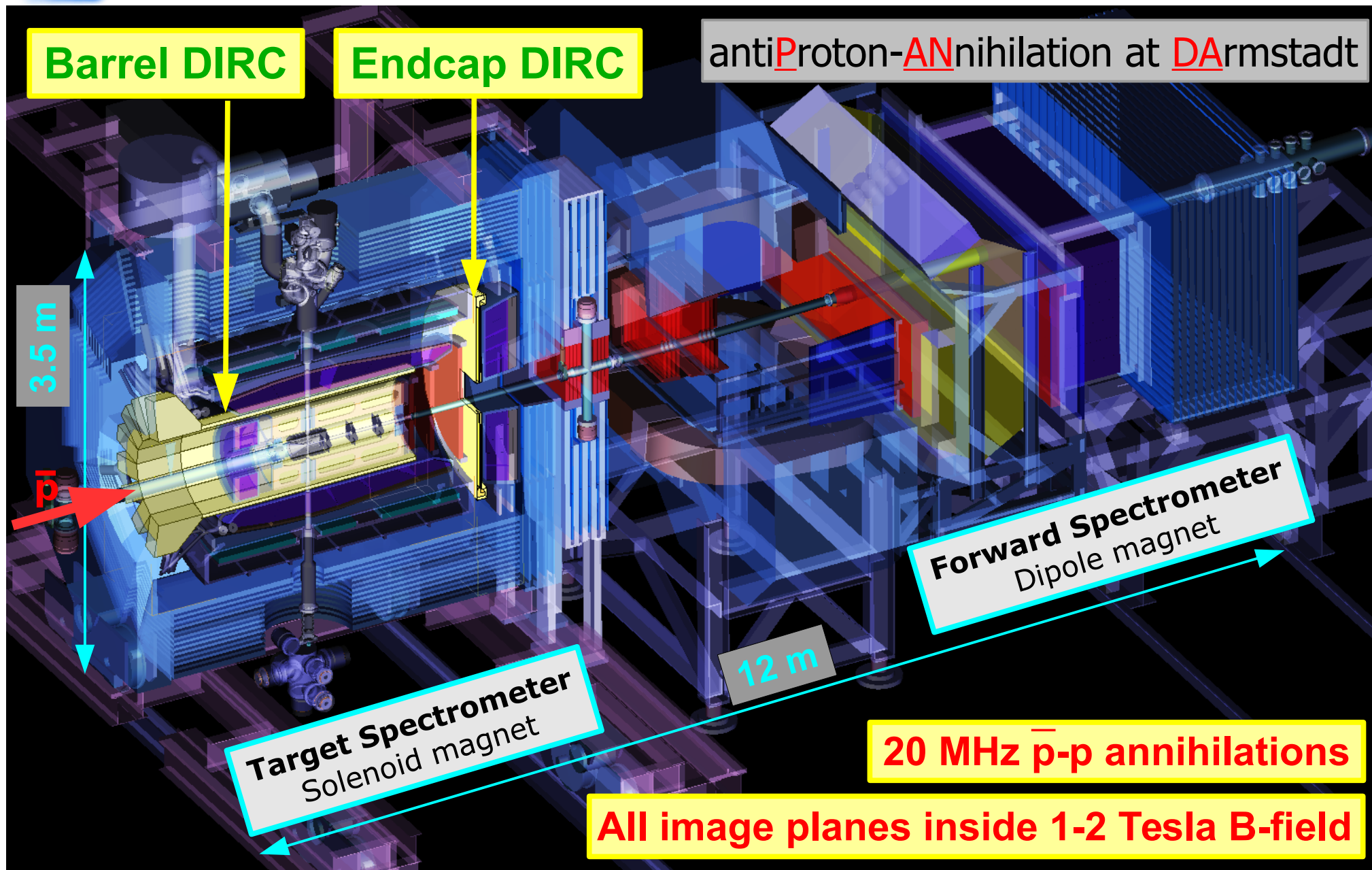
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(University Erlangen-Nuremberg, Germany)

- Introduction and motivation
- Lifetime results with ALD MCP-PMTs
- Information obtainable with TRB scans
- Some properties of new MCP-PMTs
- Outlook and summary





PANDA Detector at FAIR





MCP-PMTs for PANDA DIRCs

- MCP-PMTs are the only suitable sensors for PANDA

- Compact and available as multi-anode devices
- Single photon detection even in B-fields of 1 – 2 Tesla
- Excellent time resolution <50 ps
- Low dark count rates

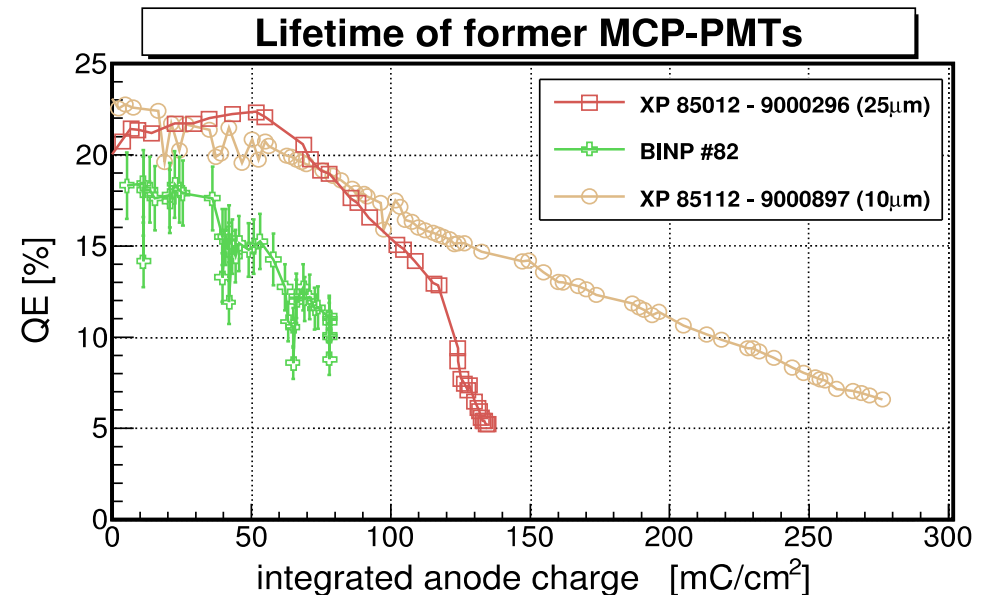
- Barrel DIRC

- Photon rate: ~ 200 kHz/cm²
- **10 years anode charge: 5 C/cm²**
- Pixel size: $\sim 6 \times 6$ mm²

- Endcap DIRC

- Photon rate: up to 1 MHz/cm²
- **10 years anode charge: >5 C/cm²**
- **Pixel size: $\sim 0.5 \times 16$ mm²**

Status in 2011

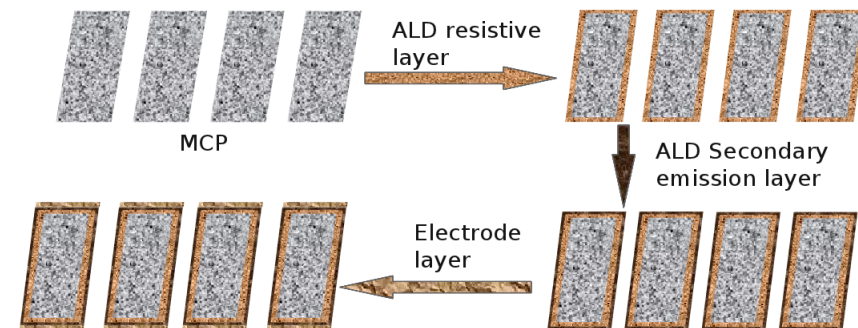




Attempts to Reduce Aging

- Thin (5-10 nm) Al_2O_3 films at or between MCPs [NIM A629 (2011) 111]
- Improved vacuum quality
- Cleaning of MCP surfaces by electron scrubbing techniques
- Modified and more robust photo cathodes [JINST 6 C12026 (2011)]
- Deposition of ultra-thin atomic layer (MgO , Al_2O_3) on MCP substrate
 - MCP pores are coated in three steps
 - resistive layer
 - secondary electron emission (SEE) layer
 - electrode layer
 - Optimization of MCP resistance and SEE
 - for each film independently
 - higher gain at given HV
 - Arradance Inc. → PHOTONIS, LAPPD, ...

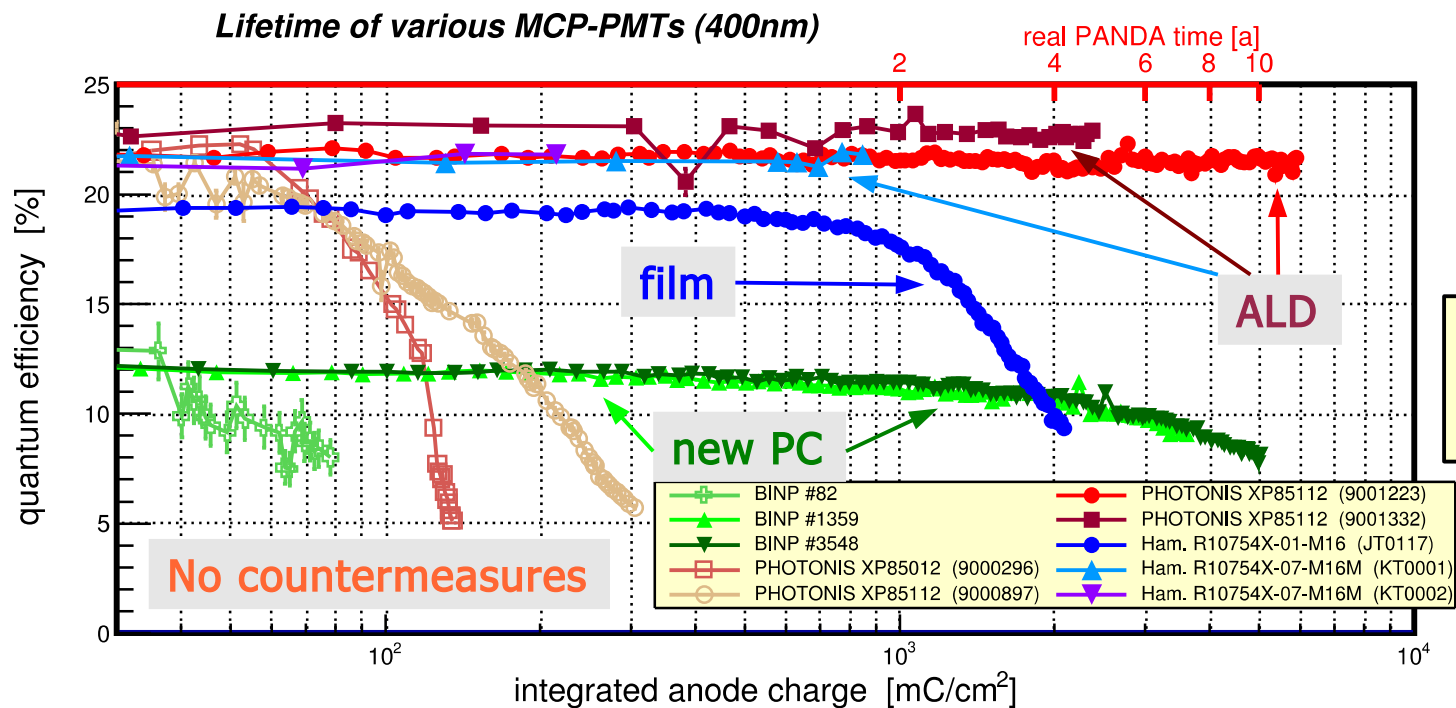
[NIM A639 (2011) 148]



!! most successful !!




Simultaneous Aging of MCP-PMTs

- **Problem in 2011:** The few aging tests existing were done in rather different environments → results are difficult to compare
- **Goal:** measure aging behavior for all available lifetime-enhanced MCP-PMTs in same environment
- **Simultaneous illumination** with common light source → same rate
- **Aging results presented at RICH 2013:**



ALD technique is superior

Lifetime-Investigated MCP-PMTs

| | BINP | PHOTONIS | | | Hamamatsu | | |
|-------------------------------|---|-------------------------------------|--|--------------------------------|---|-----------------|---------------|
| | | XP85012 | XP85112 | XP85112 | R10754X-01-M16 | R10754X-07-M16M | R13266-07-M64 |
| pore size (μm) | 7 | 25 | 10 | 10 | 10 | 10 | 10 |
| number of pixels | 1 | 8x8 | 8x8 | 8x8 | 4x4 | 4x4 | 8x8 |
| active area (mm^2) | $9^2 \pi$ | 53x53 | 53x53 | 53x53 | 22x22 | 22x22 | 51x51 |
| total area (mm^2) | $15.5^2 \pi$ | 59x59 | 59x59 | 59x59 | 27.5x27.5 | 27.5x27.5 | 61x61 |
| geom. efficiency (%) | 36 | 81 | 81 | 81 | 61 | 61 | 70 |
| photo cathode | Multi-alkali | | bi-alkali | | | multi-alkali | |
| peak Q.E. | 21% @ 495 nm | 20% @ 380 nm | 23% @ 380 nm | 22% @ 380 nm | 21% @ 375 nm | 22% @ 415 nm | 17% @ 415 nm |
| comments | better vacuum, new cathode | better vacuum, polished surfaces | better vacuum, polished surfaces | better vacuum, ALD surfaces | film between MCPs | ALD + film | ALD + film |
| # of tubes measured | 2 | 1 | 1 | 3 | 1 (+1 L4) | 2 | 4 |
| |  | |  | |  | | |

- Tubes first measured with no significant lifetime improvements
- Lifetime improved tubes measurement started ~6 years ago
- Hamamatsu 1 inch ALD tubes measurement started ~4 year ago
- Hamamatsu 2 inch ALD tubes started in Dec. 2015 and Aug. 2016



Measurement of MCP Lifetime

Continuous illumination

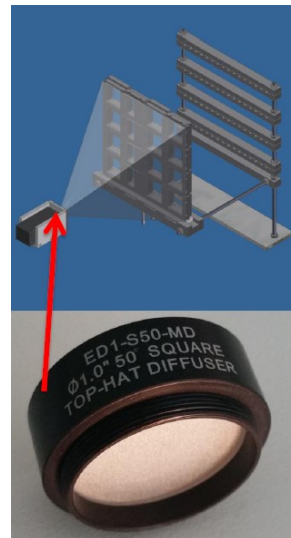
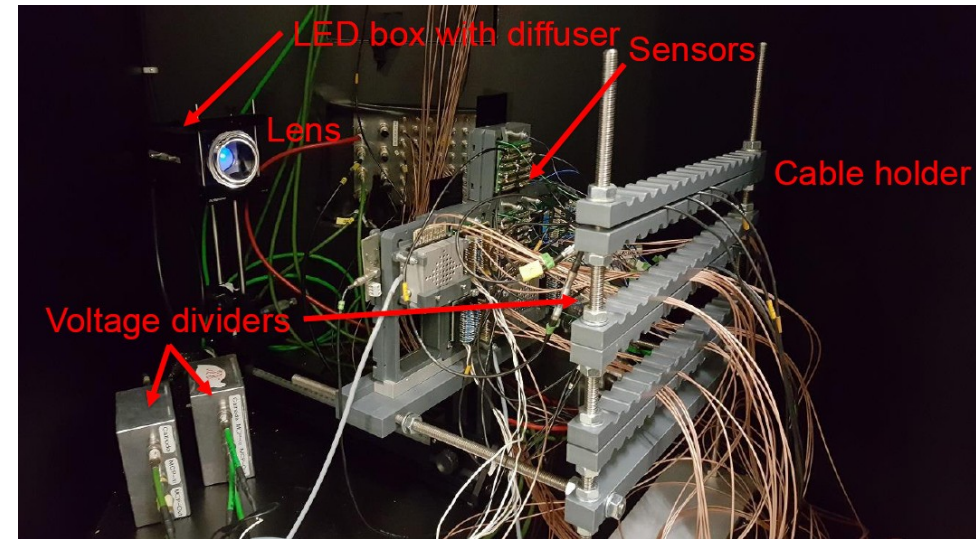
- 460 nm LED at 1 MHz rate attenuated to 1-photon level \rightarrow $\sim 10\text{-}20$ mC/cm²/day
- All MCP-PMTs in same light spot
- Permanent monitoring of MCP pulse heights and LED light intensity

Q.E. measurements

- Light source: stable Xenon arc lamp
- 250–700 nm wavelength band with in-house monochromator $\Delta\lambda = 1$ nm
- Every ~ 4 weeks: wavelength scan
- Every 3-4 months: complete surface scan at 372 nm

Thorlabs square diffuser (ED1-S50-MD) in front of LED to get $\sim 30 \times 30$ cm² homogeneously illuminated light spot at sensor plane

Holding structure for up to 16 two-inch MCP-PMTs



Gain, DCR and QE (Hamamatsu ALD)

gain

dark count

Q.E.

relative Q.E.

**R10754X-07-M16M
(KT0001)**

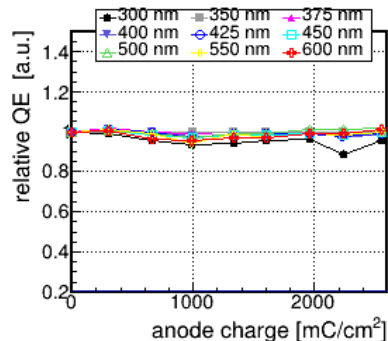
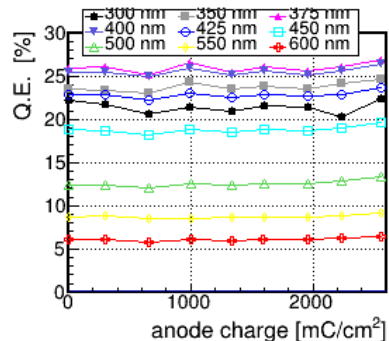
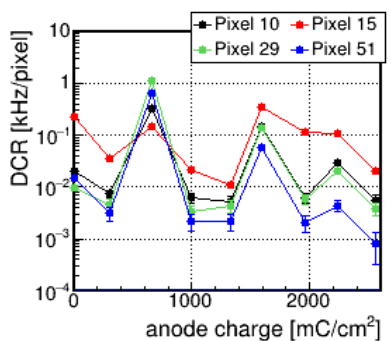
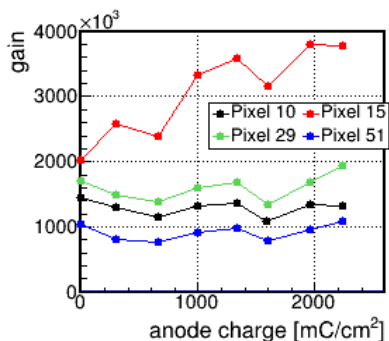
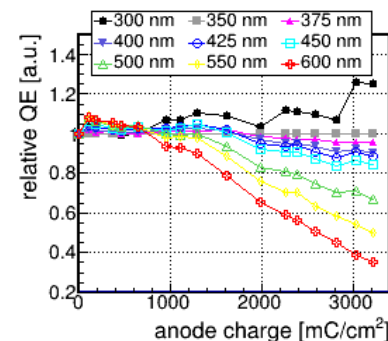
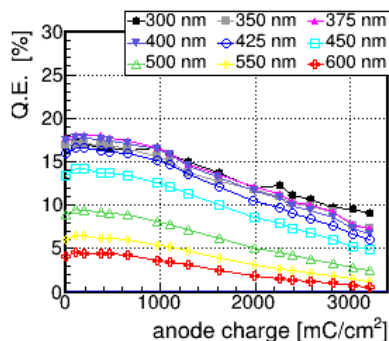
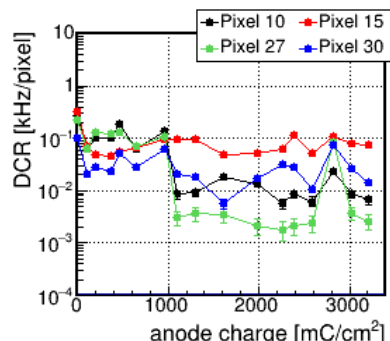
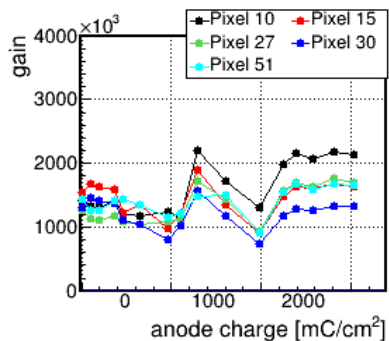
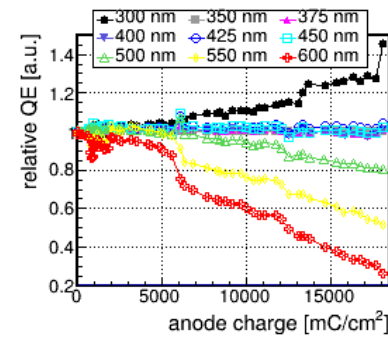
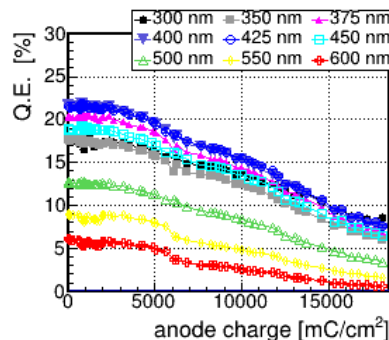
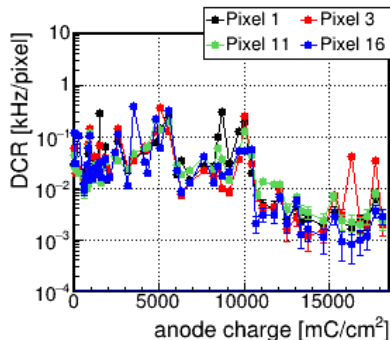
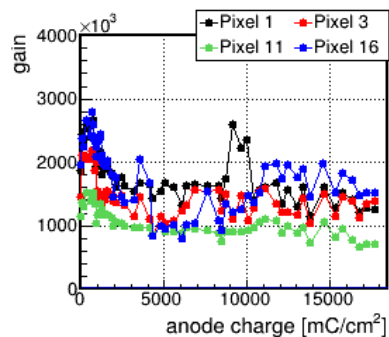
1-inch; 1 ALD layer
+ film between MCPs

**R13266-07-M64
(JS0022)**

2-inch; 1 ALD layer + film
in front of 1st MCP

**R13266-07-M64
(JS0035)**

2-inch; 1 ALD layer + film
in front of 1st MCP



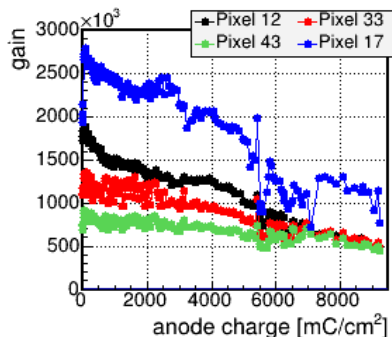
gain almost stable and DCR decreases only slightly

when QE degrades clear wavelength dependence visible

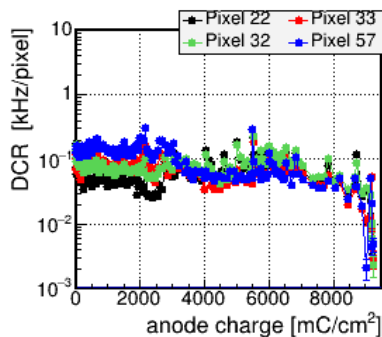


Gain, DCR and QE (Photonis)

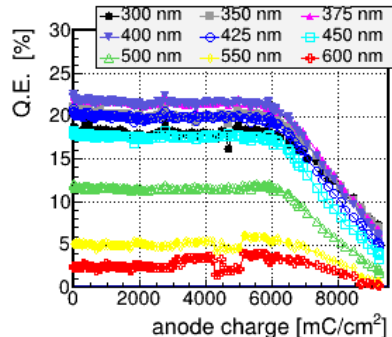
gain



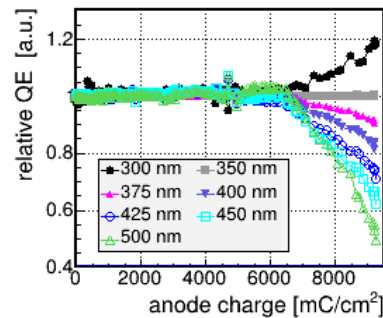
dark count



Q.E.

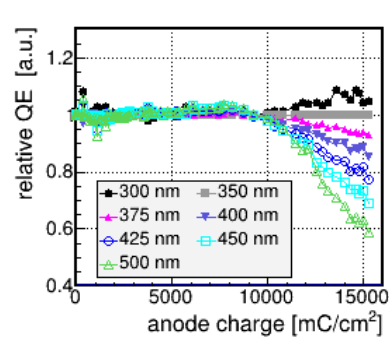
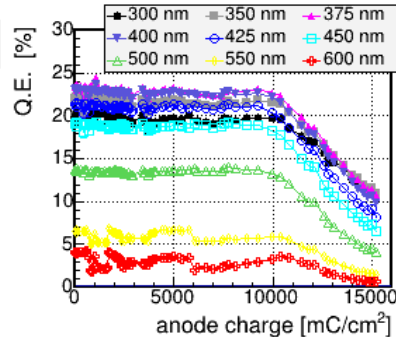
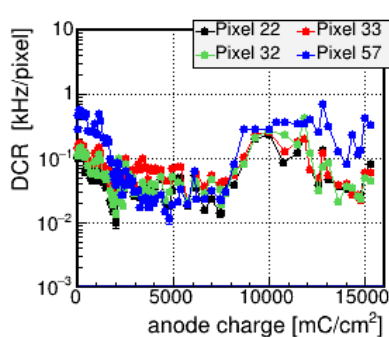
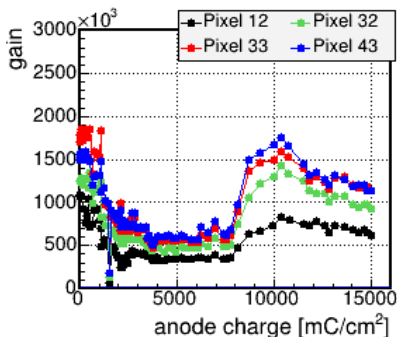


relative Q.E.



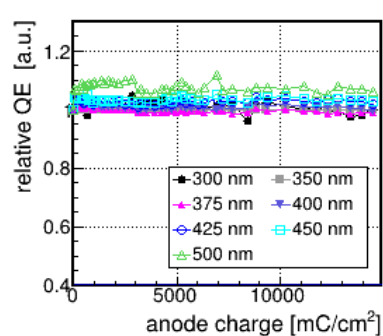
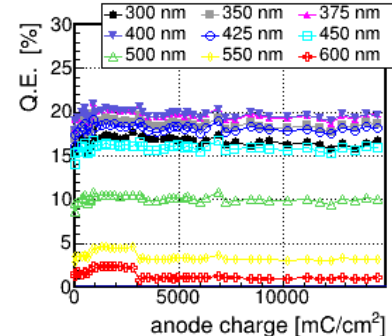
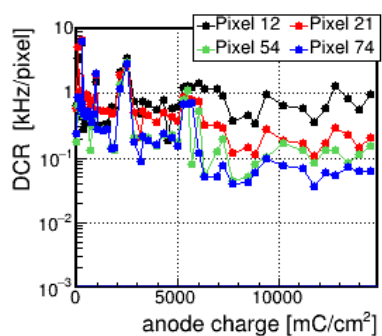
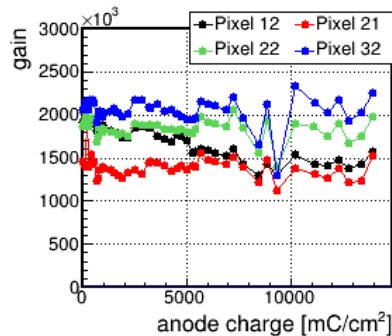
XP85112
(9001223)

1 ALD layer



XP85112
(9001332)

1 ALD layer



XP85112
(9001393)

2 ALD layers

1 layer ALD: gain/DCR variations; QE stable up to 6 and 10 C/cm², then declining

2 layer ALD: very stable behavior up to >14 C/cm²



Q.E. Scans (Hamamatsu ALD)

Q.E. at 372 nm

R10754X-07-M16M
(KT0001)

1 ALD layer
+ film between MCPs

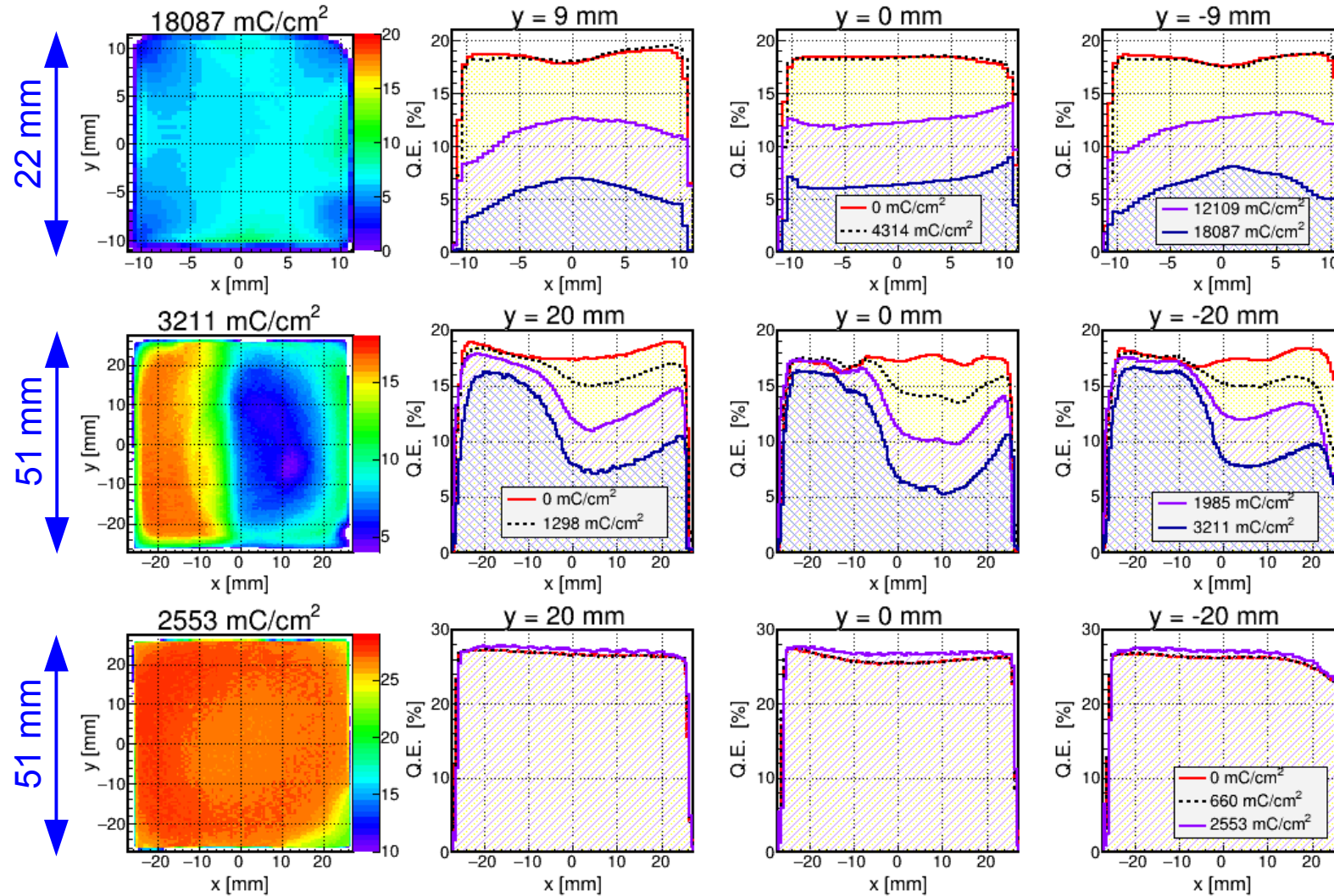
R13266-07-M64
(JS0022)

1 ALD layer + film
In front of 1st MCP

R13266-07-M64
(JS0035)

1 ALD layer + film
in front of 1st MCP

left half of tube not illuminated



1": stable to 4 C/cm², then moderate QE decline ; corners more serious
 2": early QE degradation at JS0022; later prototypes (JS0035) stable



Q.E. Scans (Photonis ALD)

Q.E. at 372 nm

XP85112
(9001223)

1 ALD layer

right half of tube
not illuminated

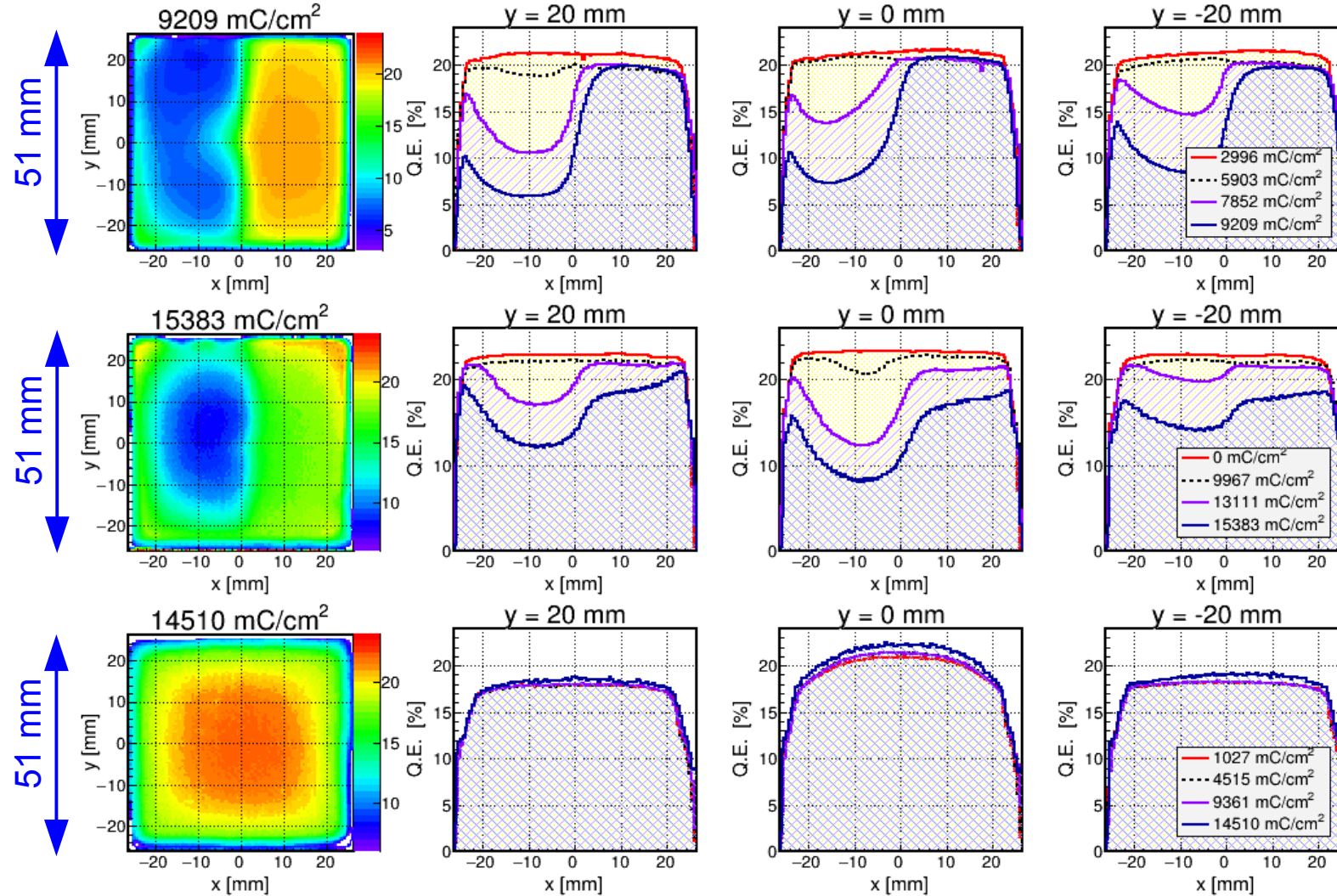
XP85112
(9001332)

1 ALD layer

tube fully
illuminated

XP85112
(9001393)

2 ALD layers



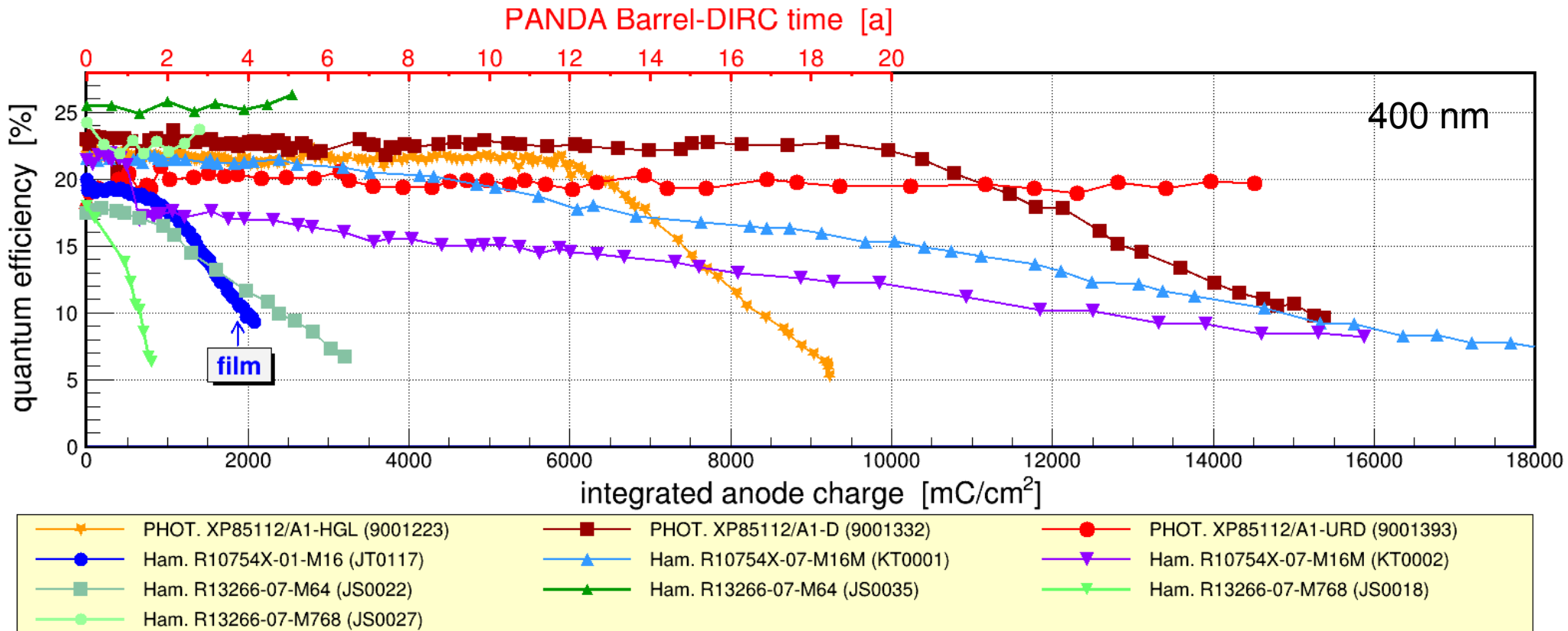
1 ALD layer: QE degradation starts at 6 C/cm² and 10 C/cm²
 2 ALD layers: no sign of QE degradation up to >14.5 C/cm²



Illumination Overview

| | Sensor ID | Integral charge (July 27, 2017) [mC/cm ²] | QE start [%] | QE latest [%] | QE latest / QE start [%] | Comments |
|--|------------------|---|-----------------|------------------|--------------------------------|---------------------------------------|
| ALD non – ALD Photonis XP85112 | 9001223 | 9234 | 22.15 | 5.29 | 24% | Start: 23 Aug. 11 Stop: 22 Sep. 15 |
| | 9001332 | 15383 | 22.96 | 9.63 | 42% | Start: 12 Dec. 12 ongoing |
| | 9001393 | 14510 | 19.05 | 19.68 | 103% | Start: 23 Jan. 14 ongoing |
| ALD non – ALD Hamamatsu R10754X/R13266 | JT0117 (M16) | 2086 | 19.97 | 9.32 | 47% | Start: 23 Aug. 11 Stop: 24 Jul. 12 |
| | KT0001 (M16M) | 18097 | 21.52 | 7.3 | 34% | Start: 20 Aug. 13 ongoing |
| | KT0002 (M16M) | 15872 | 21.4 | 8.22 | 38% | Start: 21 Oct. 13 ongoing |
| | JS0022 (M64) | 3211 | 17.43 | 6.73 | 39% | Start: 11 Dec. 15 ongoing |
| | JS0035 (M64) | 2553 | 25.47 | 26.36 | 103% | Start: 31 Aug. 16 ongoing |
| BINP | 3548 | 6698 | 11.93 | 4.58 | 38% | Start: 21 Oct. 11 Stop: 08 Jul. 15 |

Lifetime of ALD MCP-PMTs (07/2017)

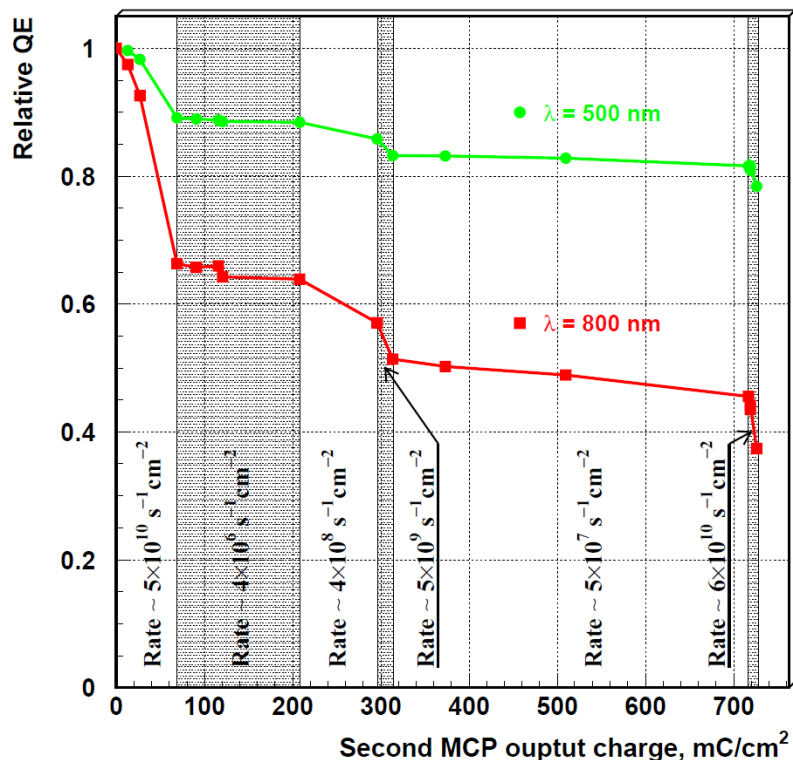


- 1-inch ALD Hamamatsu: 50% of original QE after ~14 C/cm²
- 2-inch ALD Hamamatsu: later prototypes more stable
- 1-layer ALD PHOTONIS: aging starts at 6 and 10 C/cm²
- 2-layer ALD PHOTONIS: **no sign of aging yet at 14.5 C/cm²**

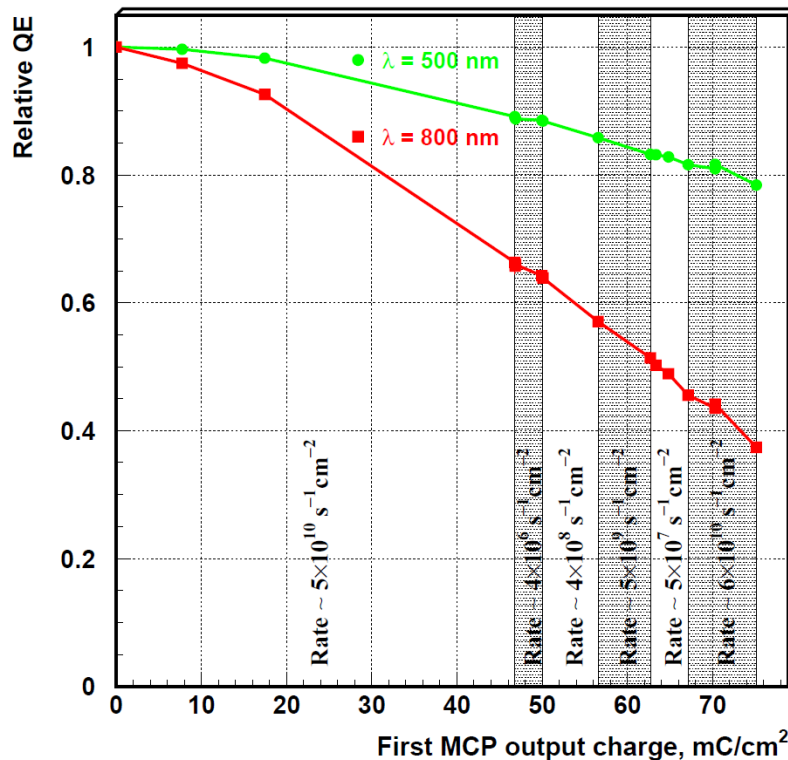
Accelerate Aging Measurements

M.Yu. Barnyakov and A.V. Mironov, 2011 JINST 6 C12026

2nd MCP



1st MCP
or PC

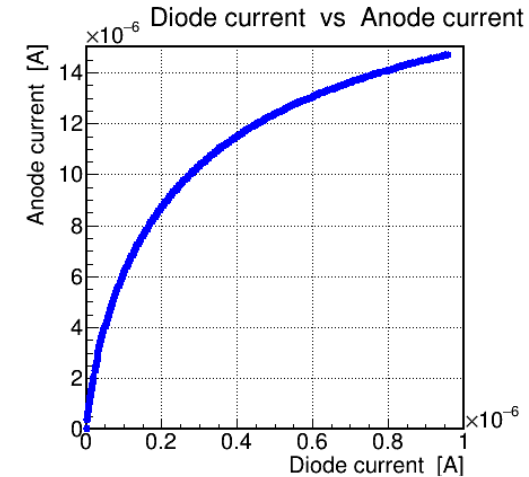
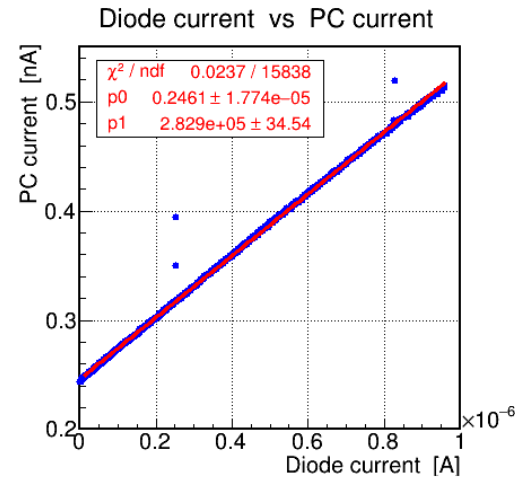


- At 2nd MCP output the QE degradation rate depends on photon rate
- At 1st MCP (or PC) no correlation between QE degradation and rate
- Simultaneous current measurement at cathode and anode requires one **potentialfree picoammeter** (up to 3.5 kV)



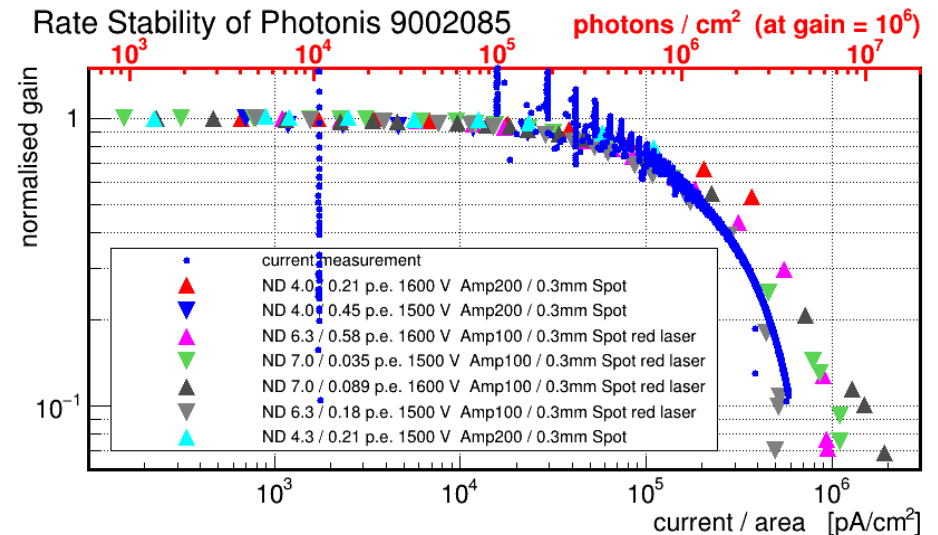
Tests with Floating Picoammeter

- Floating picoammeter (FPA)
 - Self-built, since commercially not available
 - Floating to 2 kV (new one to 4 kV)
 - Current to voltage conversion
 - Readout with standard multimeter
 - Very linear behavior down to ~ 1 pA



- Test measurements

- Photonis 9002085 at 1600 V
- Simultaneous illumination of MCP-PMT and photo diode with Pilas laser
- 6 h dark, then increasing light intensity
- PC current (FPA) proportional to light
- Anode current (Keithley) saturates
- Agreement with pulsed rate stability





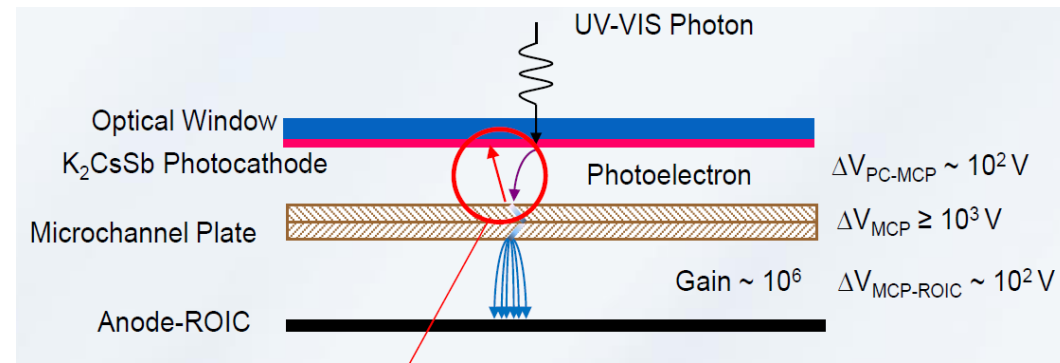
Possible Causes of MCP Aging

- Neutral molecules from residual gas react with PC [NIM A629 (2011) 111]
- Ion feedback

- Amplification process causes

- Desorption of atoms from MCP material (especially H and Pb)
- Damage to MCP surfaces
gain may change
- Ionization of residual gas atoms

[Jeff deFazio, PHOTONIS, 2016]



- Ions accelerated towards photo cathode (**ion feedback**)
 - ~keV ions hit and may react with PC
 - Light (H^+ , He^+ , ...) and heavier ions (Si, K, Cs, Pb, ...) partly confirmed by TOF
- PC gets damaged → QE loss → **Mechanism unknown !**
- Findings from investigation of Photonis 9001223 (with aged/unaged PC halves)
 - Optical measurements → photon absorption unaffected → **no bulk damage**
 - Retarding potential analysis → shows only **minor effects on work function**
 - **Sputtering of Cs** from PC to MCP surface observed



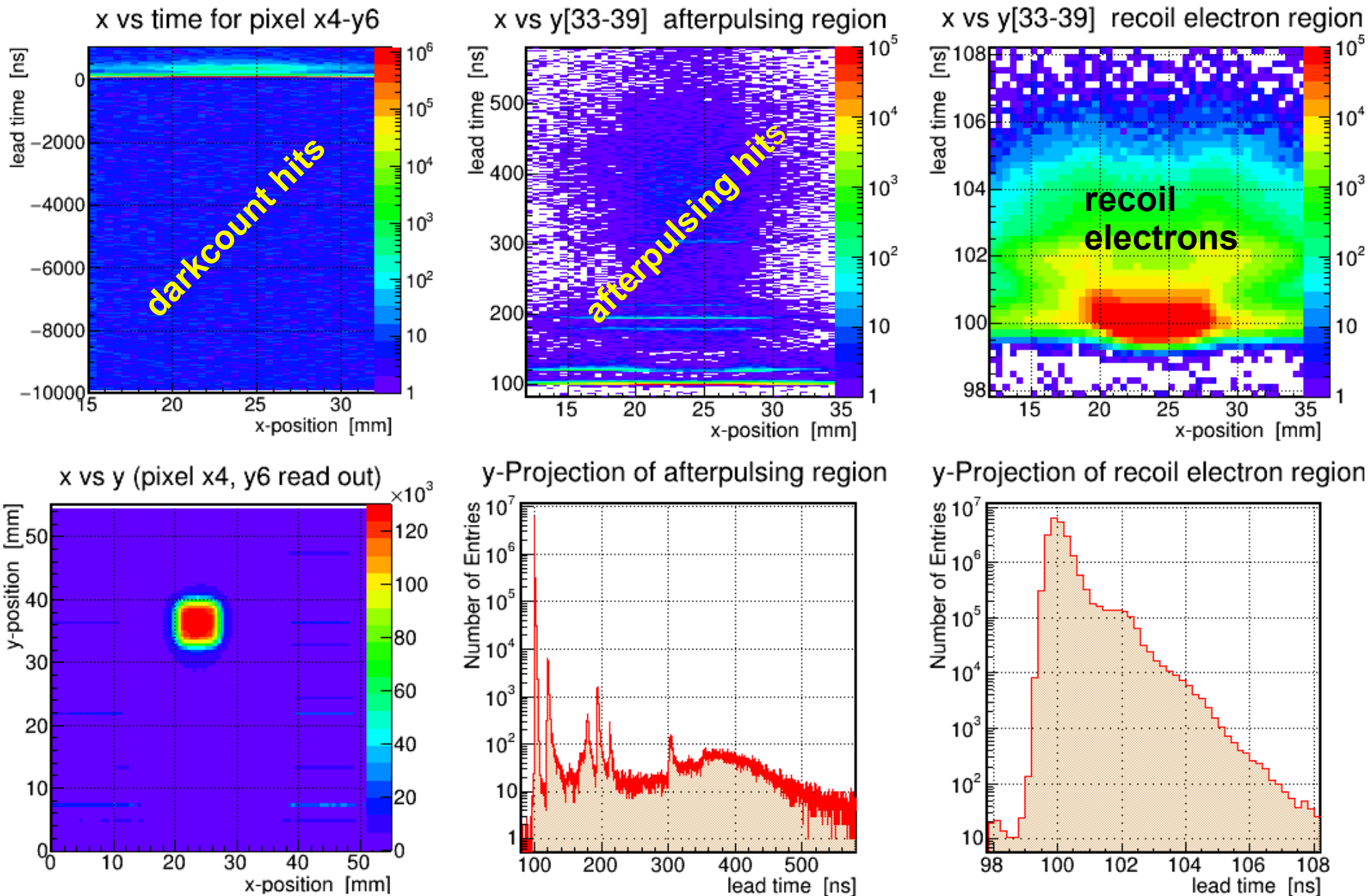
Scans with TRB/PADIWA DAQ

For details about TRB/PADIWA data acquisition see talk of M. Traxler

- Each channel: TRB system is permanently analyzing data stream and buffering PADIWA lead time and time-over-threshold (ToT) information of hits
- After a trigger ($t = 0$) all hits within a certain time interval (e.g. -10 to $+10 \mu\text{s}$) are read out and stored; in our case the trigger is usually given by the Pilas laser
- Main information per channel obtained with xy-scans
 - x-, y-position, lead time, ToT, number of hits
- Higher level information deduced (currently):
 - Afterpulse distributions \rightarrow TOF of feedback ions
 - Dark count xy-distributions
 - Charge sharing (and electronic) crosstalk (≥ 2 hits at same time)
 - Recoil electron distributions (spatial information and time delay)
- TRB scans allow the **separation of hits from recoil electrons and those of charge sharing events as well as identification of afterpulsing hits**

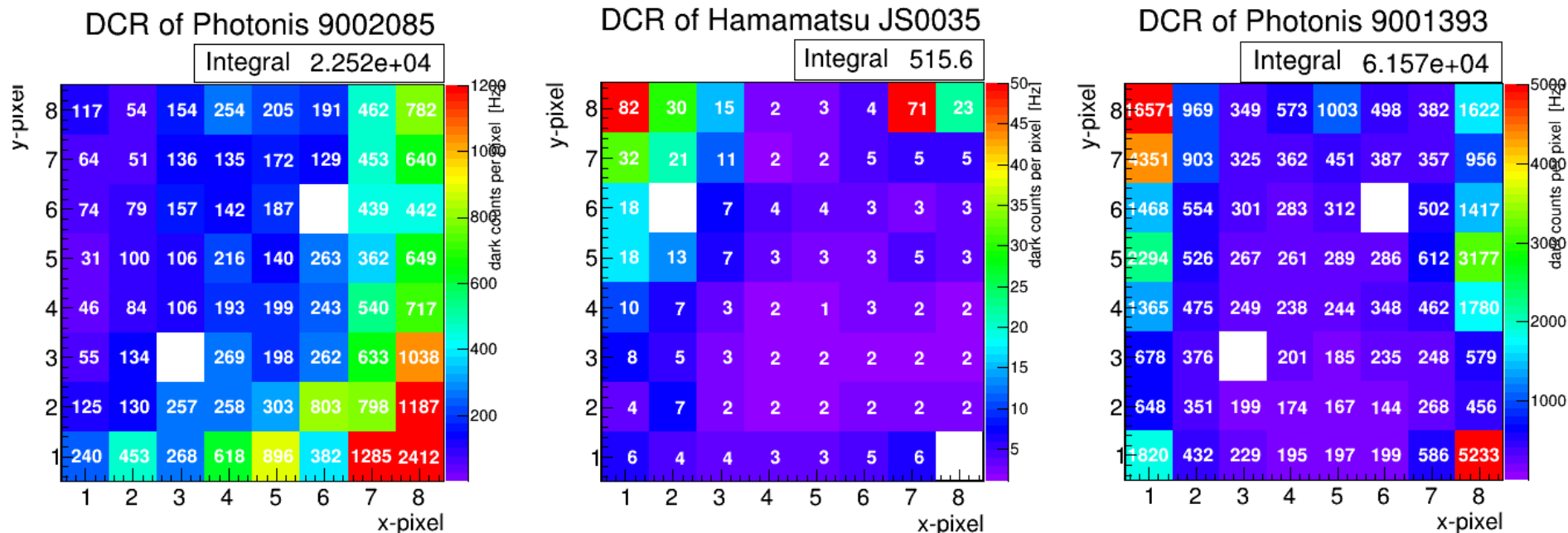


TRB-Scan of PHOTONIS Hi-QE





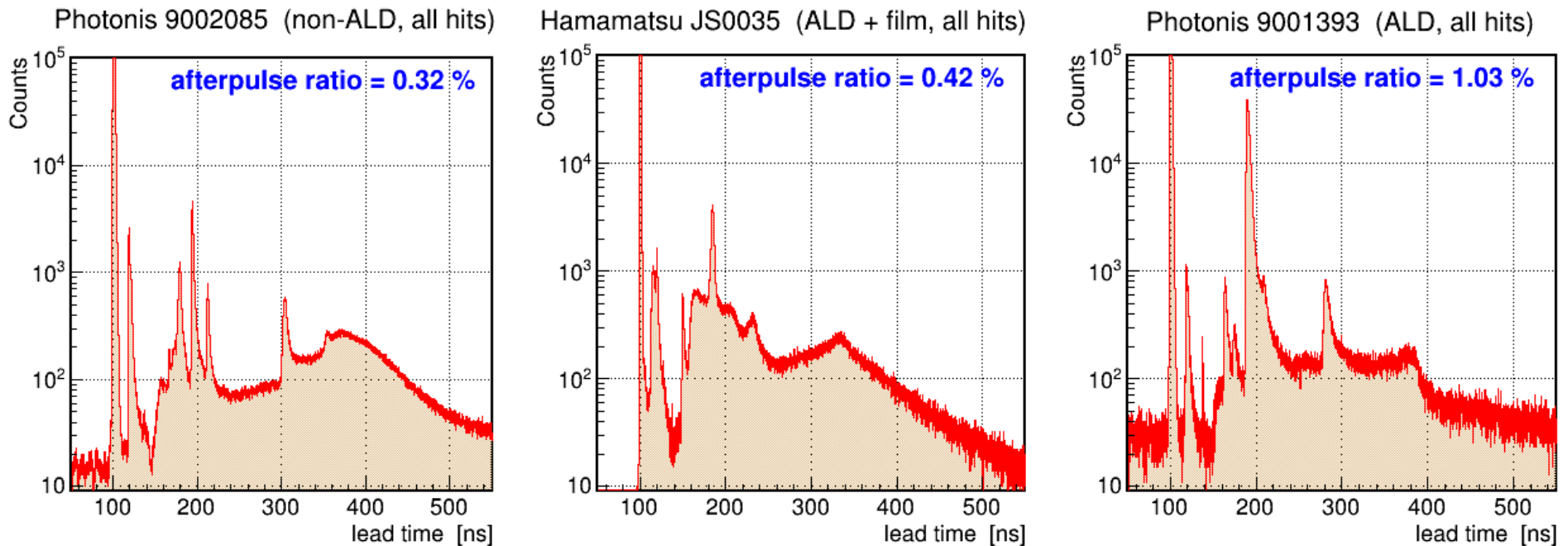
Comparison of Darkcount Rates



- Determine darkcount rate per pixel with integral of N(-10000 ns to 0 ns)
- Rate/pixel varies from <10 Hz to ~10 kHz
- By far lowest darkcount rate observed with Hamamatsu JS0035
- High darkcount rate in 2-layer ALD Photonis 9001393 (heavily aged!)
- Highest darkcount rates often seen in corner pixels



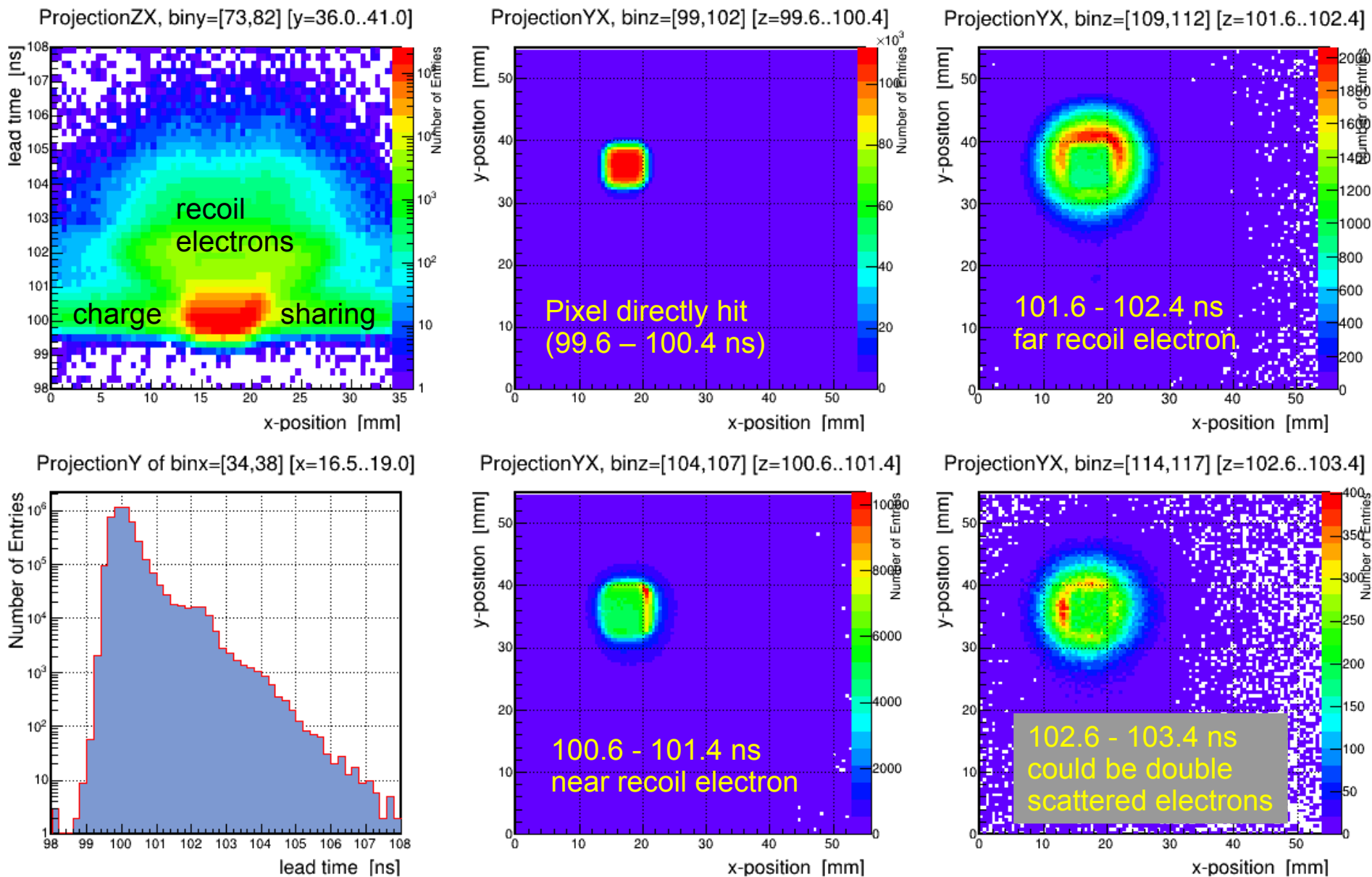
Afterpulse Distributions



- Possibility to determine afterpulse rate (and distribution per pixel)
- % of afterpulses = $N(115-550 \text{ ns}) / N(98-115 \text{ ns})$
- Several peaks in afterpulse distribution → possibility to identify ions
- Significant afterpulsing seen in Hamamatsu ALD tube with film (??)
- 9001393 with longest lifetime has also significant afterpulse fraction

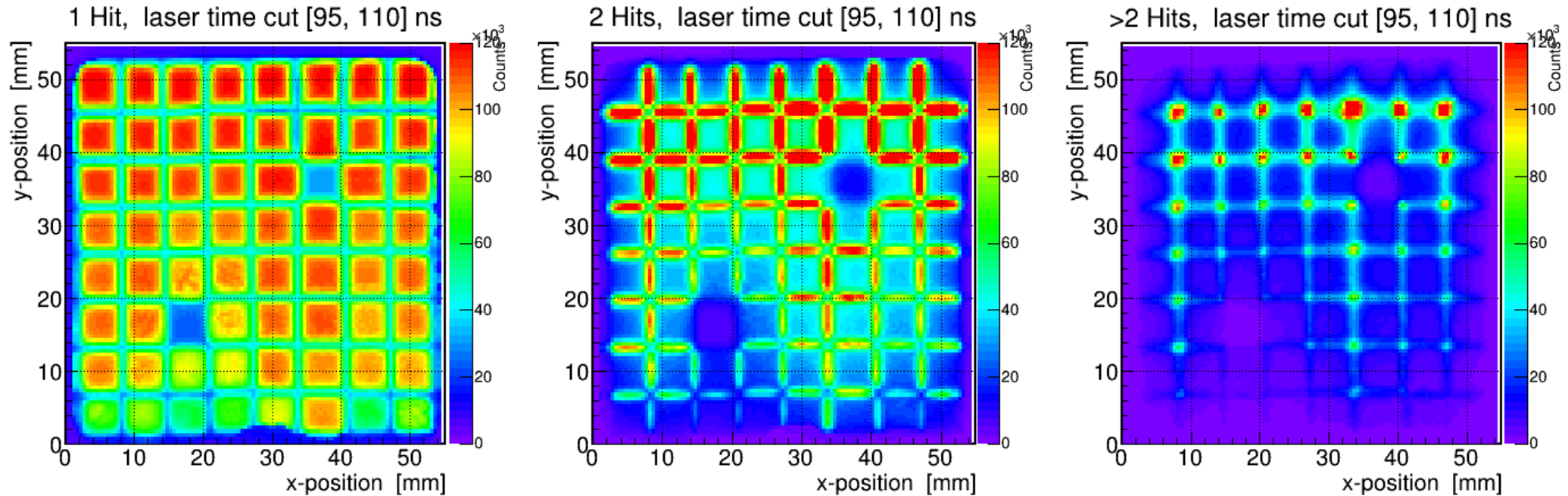


Recoil e^- in PHOTONIS Hi-QE



Crosstalk in PHOTONIS 9002085

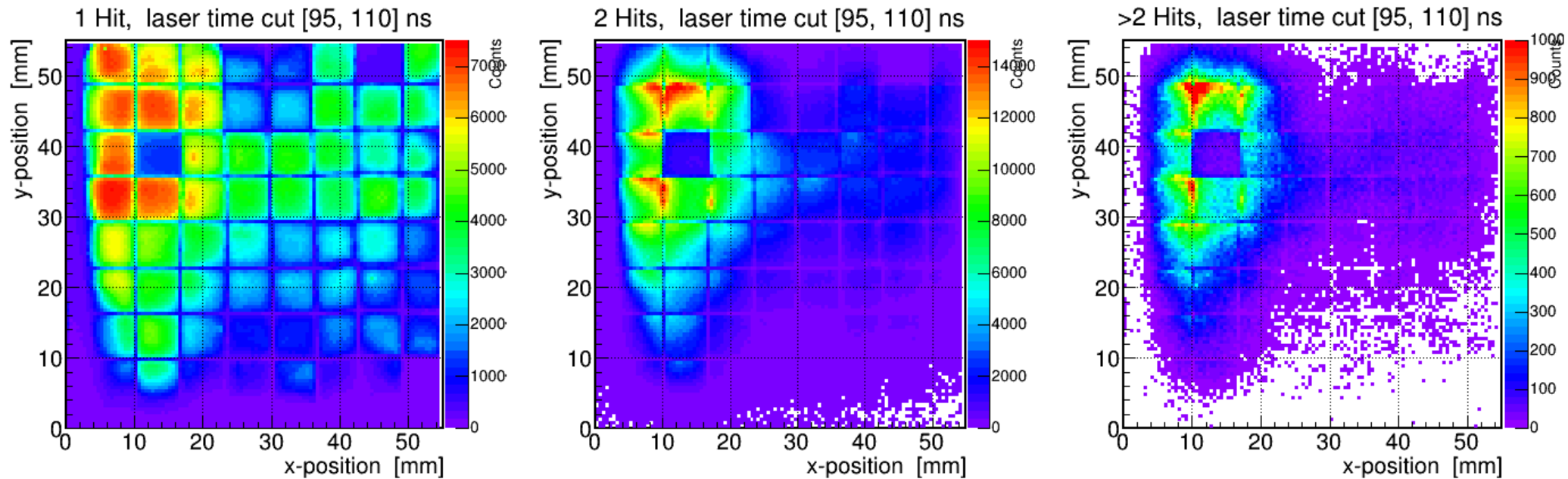
XP85012; 25 μm ; non-ALD; high-QE; 1600 V



- Events with 1, 2, and >2 hits in time window between 95 and 110 ns
- 2 Hits (pixel borders) \rightarrow charge sharing among 2 pixels (same time)
- >2 Hits (pixel corners) \rightarrow charge sharing among 3 or 4 pixels
- Peak widths allow determination of charge cloud size $\rightarrow \sigma = 1.0 - 1.2 \text{ mm}$

Crosstalk in Hamamatsu JS0035

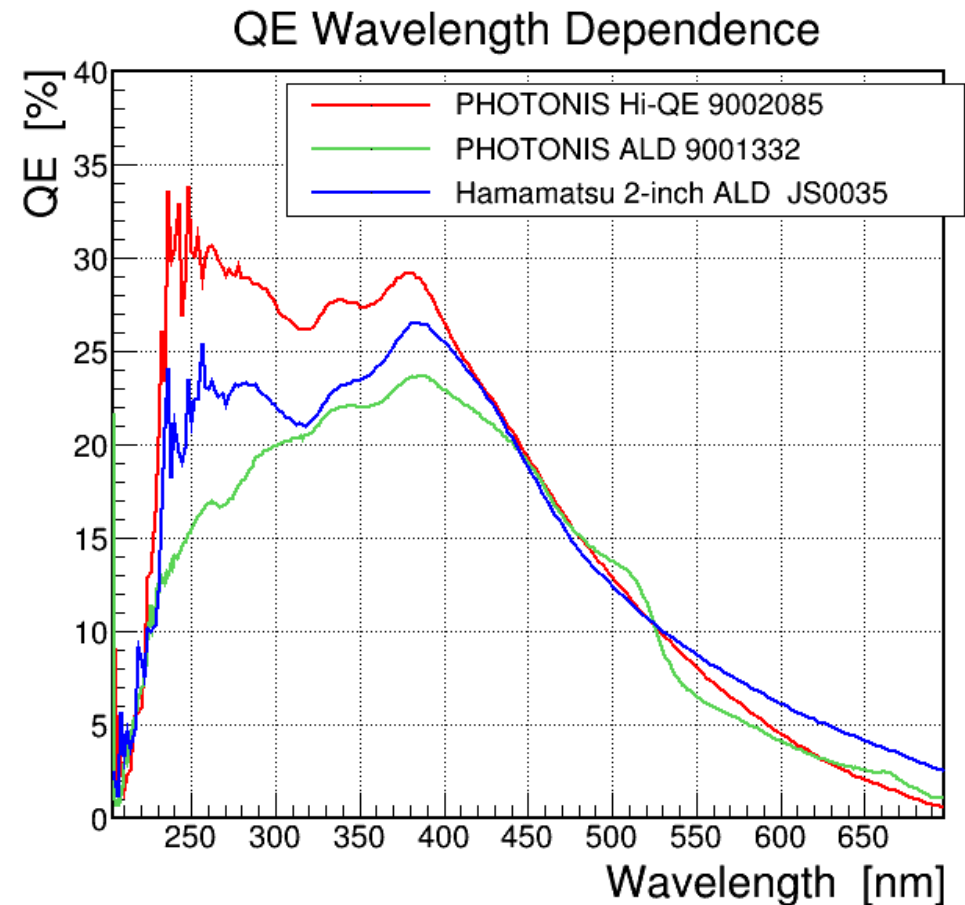
R13266; 2-inch; 10 μm ; ALD + film in front of 1st MCP; 2800 V



- Events with 1, 2, and >2 hits in time window between 95 and 110 ns
- Pixel borders clearly seen in 1-hit distribution
- ≥ 2 Hits (pixel borders) \rightarrow no clear charge sharing seen !!
- Crosstalk behavior is very different to Photonis devices \rightarrow **electronic crosstalk?**

QE Comparison of new MCP-PMTs

- PHOTONIS Hi-QE
 - 25 μm pores
 - No ALD coating
 - In HiQE tube the QE is significantly enhanced from 250 to 400 nm compared to older versions (e.g. 9001332)
 - Max. QE of 29% at 380 nm
- Hamamatsu 2-inch
 - 10 μm pores
 - ALD coating + film
 - Good QE of 27% at 385 nm





“Real” Gain of 2-inch MCP-PMTs

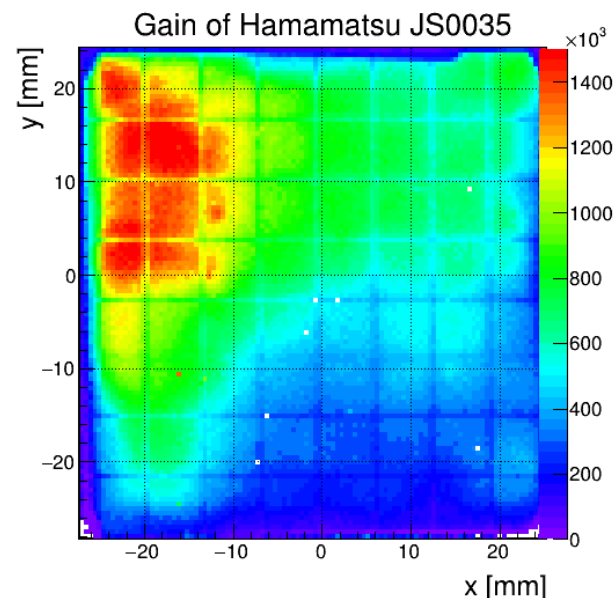
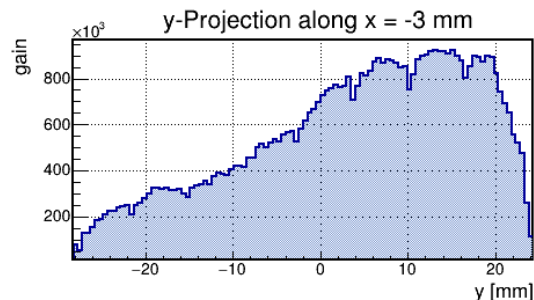
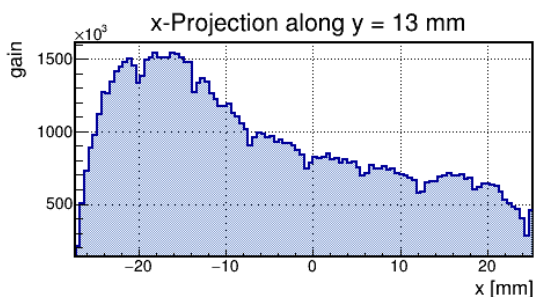
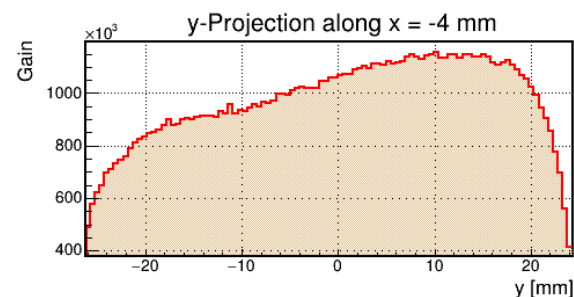
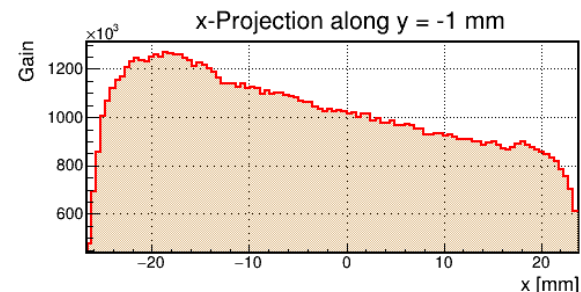
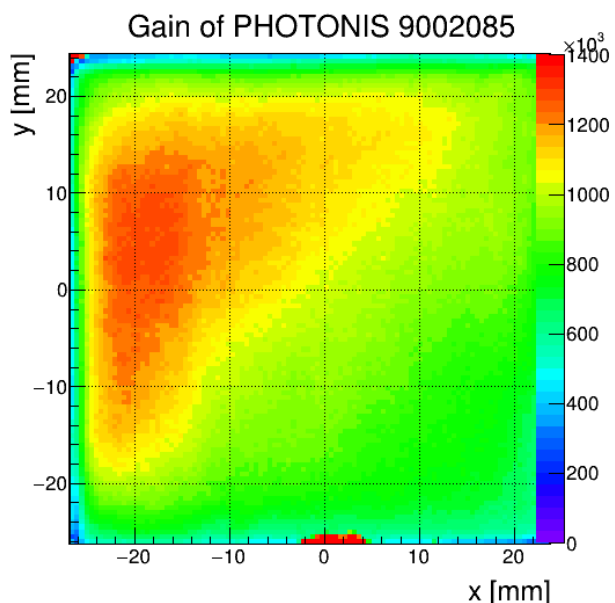
PHOTONIS Hi-QE 9002085

- $U = 1600$ V
- $\sim 10^6$ gain at center (pixel 44)
- **factor 2 gain variations**

- Gain determined by ratio of current measurements at anode and PC (QE and CE cancel out)
- Measure direct PC current with unattenuated light
- Measure amplified current distribution obtained at shorted anodes with attenuated light

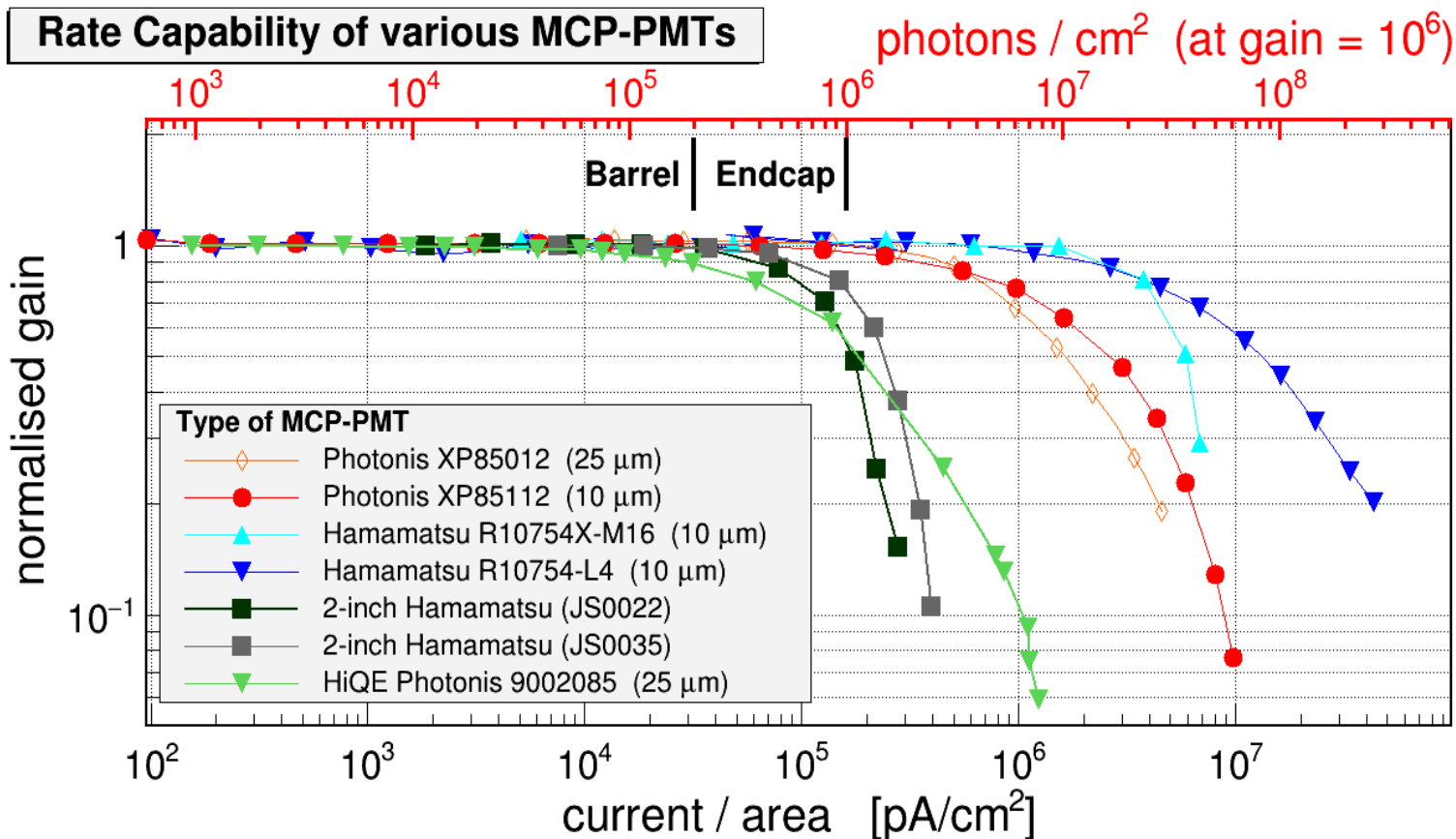
Hamamatsu 2-inch JS0035

- $U = 2800$ V
- $\sim 8 \cdot 10^5$ gain at center
- **factor 7 gain variation**





Rate Capability



- former MCP-PMTs showed stable operation to >1 MHz/cm² single photons
- Step from 1-inch to 2-inch MCP-PMTs (Hamamatsu) lowered rate capability by more than an order of magnitude (higher capacity?)
- Also new HiQE MCP-PMT from Photonis shows lower rate capability



Summary

- Last years have seen a **tremendous lifetime increase of latest MCP-PMT** models due to recent design improvements
 - application of ALD technique (x50 lifetime improvement)
 - Huge step forward !
 - However: aging mechanism still not understood
- Equipping the PANDA DIRCs and other high rate detectors with MCP-PMTs appears feasible
- Results of TRB scans look very promising and may provide a rich data set for many MCP-PMTs (especially when quality assurance tests are started)